

Binary Tree Application

Heap Sorting

Revised based on textbook
author's notes.

The Heapsort

- The simplicity and efficiency of the heap structure can be applied to the sorting problem.
 - Build a heap from a sequence of unsorted keys.
 - Extract the keys from the heap to create a sorted sequence.
- Very efficient: $O(n \log n)$

Heapsort Implementation

- A simple implementation is provided below.

```
def simple_heap_sort( the_seq ) :  
    # Create an array-based max-heap.  
    n = len(the_seq)  
    heap = MaxHeap( n )  
  
    # Build a max-heap from the list of values.  
    for item in the_seq :  
        heap.add( item )  
  
    # Extract each value from the heap and store  
    # them back into the list.  
    for i in range( n-1, -1, -1 ) : # small to large  
    # for I in range( n ) : # large to small  
        theSeq[i] = heap.extract()
```

In-Place Heapsort

- The previous version required additional storage for the heap.
- The entire process can be done in-place within the original sequence.
 - Suppose we are given the following array

10	51	2	18	4	31	13	5	23	64	29
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In-Place Heapsort

- The first step is to construct a max-heap.
 - The heap nodes occupy the array from front to back.
 - We can keep the heap elements in the front and those yet to be added at the back.
 - Keep track of where the heap ends.

In-Place Heapsort

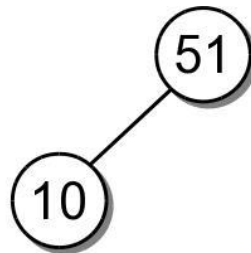
- The first value in the array represents a max-heap of one element.

10

10	51	2	18	4	31	13	5	23	64	29
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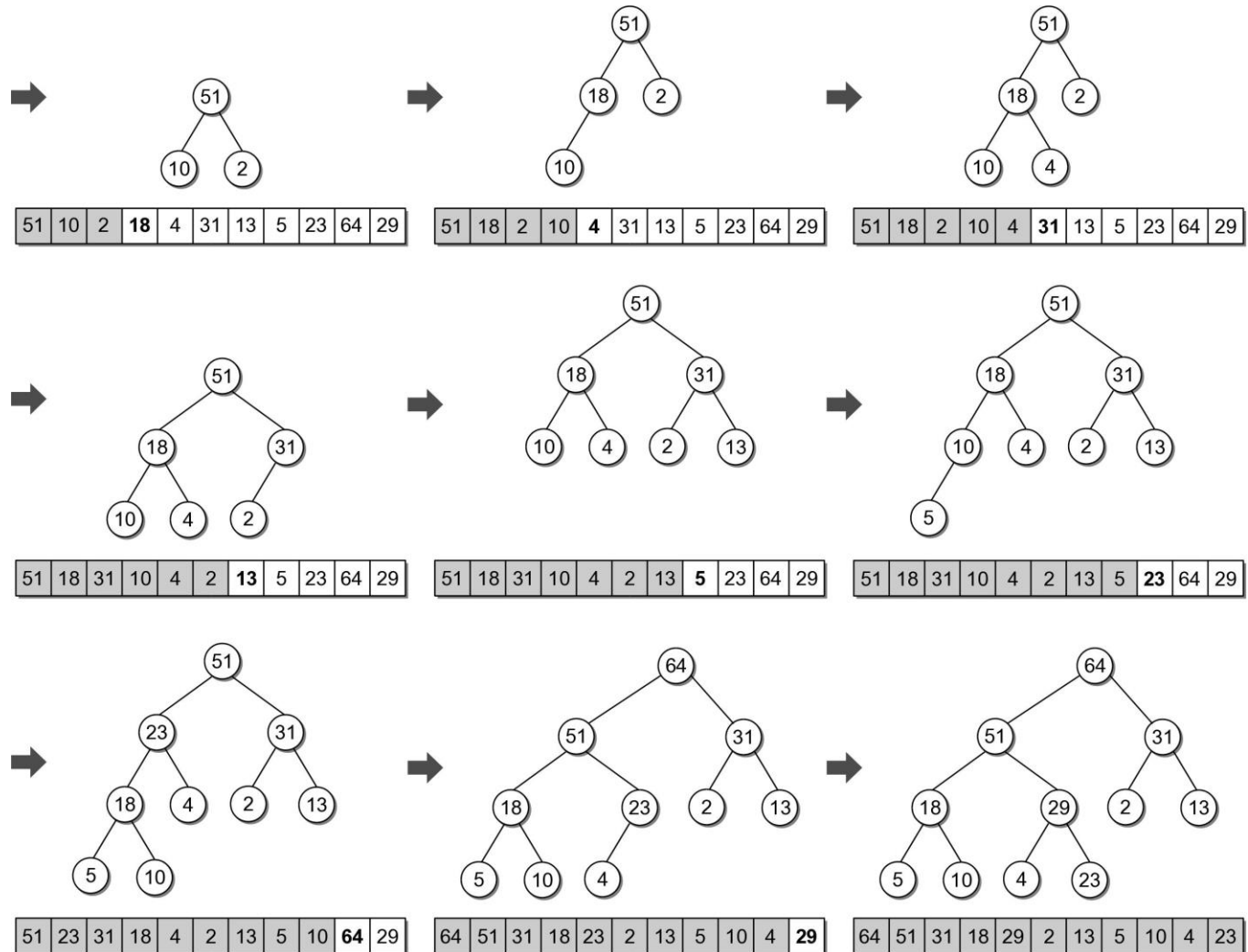
In-Place Heapsort

- The next value to be added, is the next in the array.
 - The value is copied to the root node (position 0).
 - Then sifted down.



51	10	2	18	4	31	13	5	23	64	29
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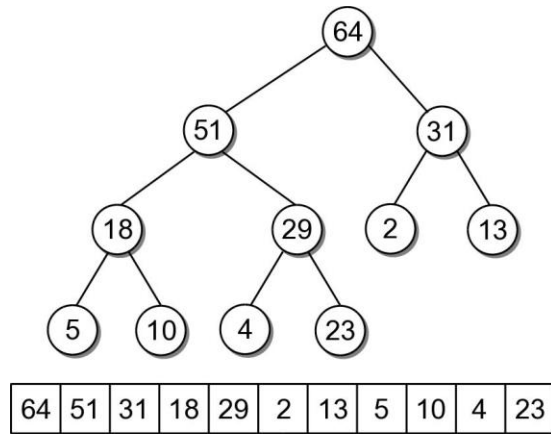
In-Place Heapsort



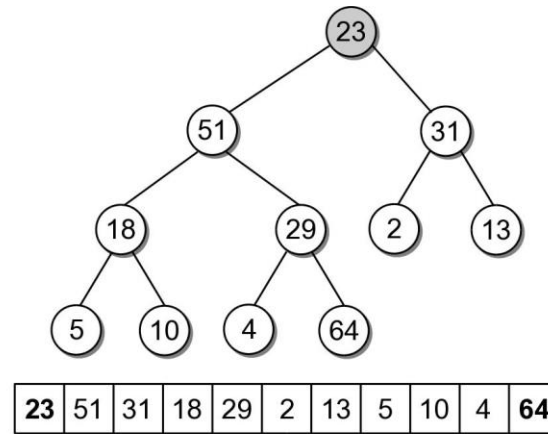
In-Place Heapsort

- The next step is to extract the values from the heap and build the sorted sequence.
 - When the root is extracted, the last child node is copied to the root.
 - The last child node is stored in the last element of the heap.
 - We can simply swap the two values.

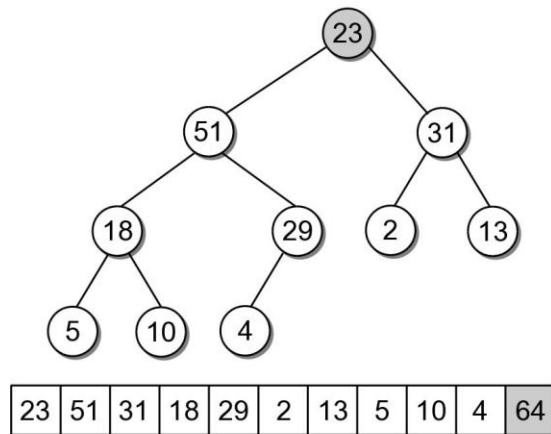
In-Place Heapsort



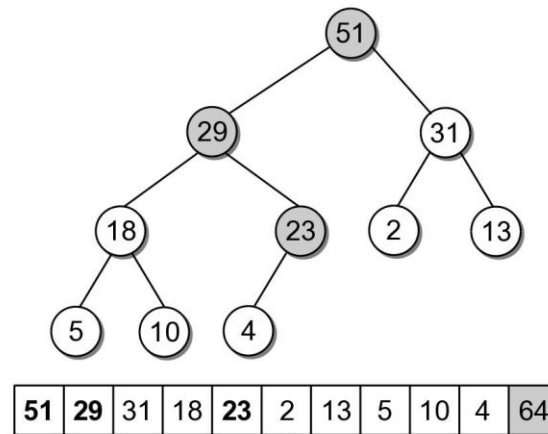
(a) the original max-heap.



(b) swap the first and last items in the heap.

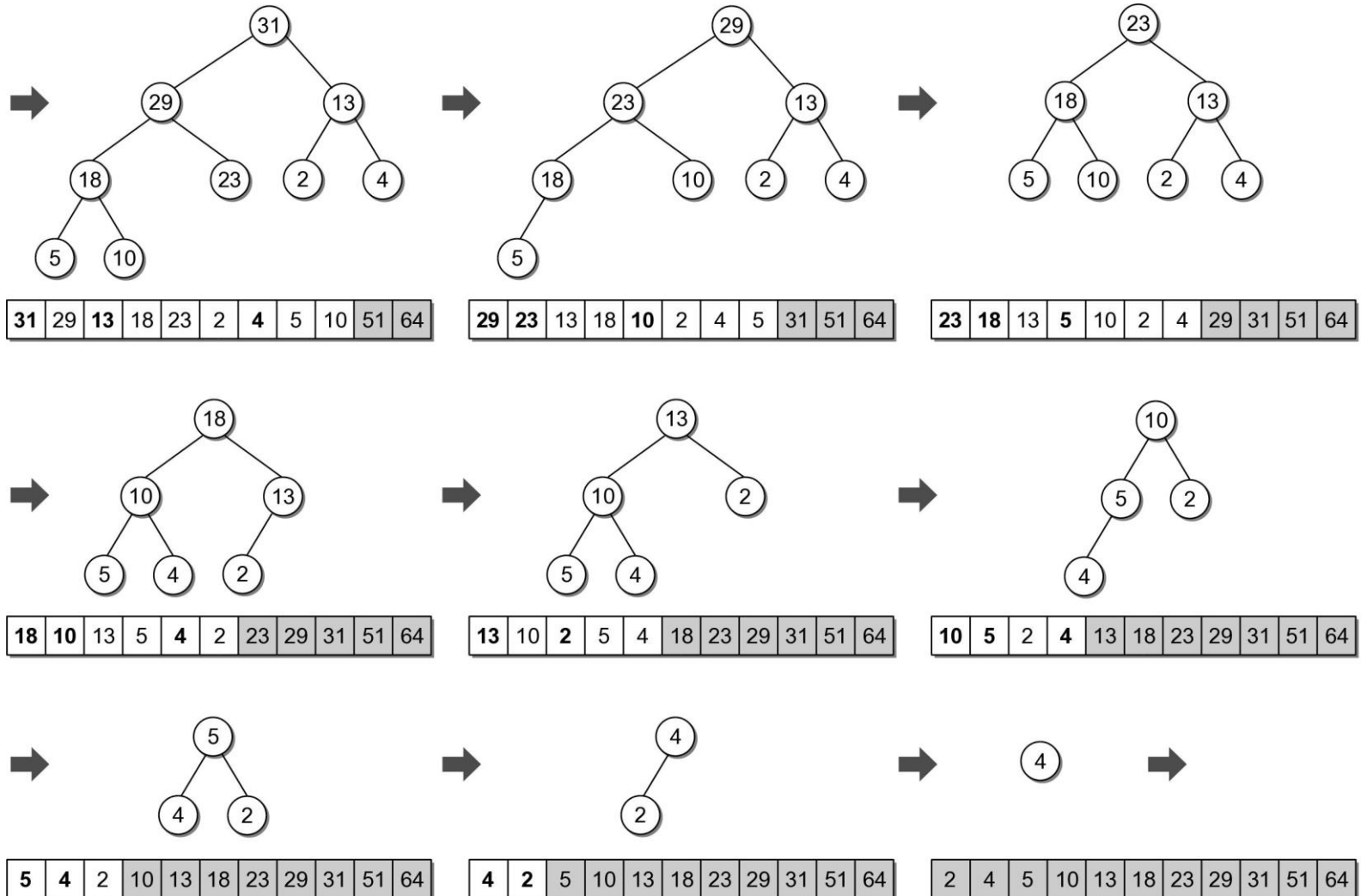


(c) remove the last item from the heap.



(d) sift the root value down the tree.

In-Place Heapsort



In-Place Heapsort Implementation

`sift_up()` and `sift_down()` are helper functions based on those used in the heap class.

```
def heapsort( the_seq ) :  
    n = len(the_seq)  
    # Build a max-heap within the same array.  
    for i in range( n ) :  
        siftUp( the_seq, i )  
  
    # Extract each value and rebuild the heap.  
    for j in range( n-1, 0, -1 ) :  
        tmp = the_seq[j]  
        the_seq[j] = the_seq[0]  
        the_seq[0] = tmp  
        siftDown( the_seq, j-1, 0 )
```